

COUNTY ASSEMBLY OF EMBU



SECOND ASSEMBLY : FIRST SESSION

REPORT OF THE SECTORAL COMMITTEE ON FINANCE AND  
ECONOMIC PLANNING ON THE VETTING OF THE NOMINEE FOR THE  
POSITION OF THE CHAIRPERSON EMBU COUNTY REVENUE  
AUTHORITY.

SUBMITTED FOR ADOPTION BY THE COUNTY ASSEMBLY

DATED: NOVEMBER, 2018

Clerk's Chambers  
County Assembly of Embu  
P.O. Box 140-60100  
Embu

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## ABBREVIATIONS

C.o. K	-	Constitution of Kenya, 2010
C.G.A	-	County Government Act, no. 12 of 2012
ECRA	-	Embu County Revenue Authority
H.E	-	His Excellency
M.C.As	-	Members of the County Assembly
S.O	-	Standing Orders of the County Assembly of Embu (2nd Edition)

### Executive Summary

In vetting nominee to the position of the Chairperson Embu County Revenue Authority, the Committee was guided by Section 8 and 9 of Embu County Revenue Authority Act, 2017 and also provisions of the Embu County Public Appointment (County Assembly Approval) Act, 2015.

Pursuant to Section 8 of the Embu County Revenue Authority Act, 2017, and Section 7 of the Embu County Public Appointment (County Assembly Approval) Act, 2015, H.E. the Governor forwarded the name of Dr. Francis Mambo Gatumo to the County Assembly on 11<sup>th</sup> October, 2018 but received in the Assembly on 22<sup>nd</sup> October 2018, for approval by the Assembly.

This notification of nomination was tabled in the Assembly sitting held on 6<sup>th</sup> November, 2018 and accordingly committed to the relevant sectoral committee on Finance and Economic Planning so as to dispense off with the matter of vetting and make a report to the Assembly within fourteen days pursuant to Section 10 (1) (b) of the Embu County Public Appointment (County Assembly Approval) Act, 2015.

The candidate and members of the public were notified of the time and place of holding the approval hearing accordingly vide an advertisement in the media (*Sunday Nation*) on, 11<sup>th</sup> November 2018. The County Assembly complied with Section 8(3) of the Embu County Public Appointment (County Assembly Approval) Act, 2015 by organizing for the vetting of the said candidate.

Pursuant to Sections 8 and 9 of the Embu County Public Appointment (County Assembly Approval) Act, 2015 and other provisions of the County Assembly of Embu Standing Orders, the committee successfully conducted the vetting exercise openly and publicly on Monday 19<sup>th</sup> November 2018 in the Embu County Assembly, Committee Rooms 3 (Ghanshyam Plaza) at 10.00 am. The sessions were open to public and there was a member of public. He did not ask any question or presented a memorandum during the vetting sessions.

The committee thereafter had a meeting to compile a report for presentation to the Assembly for consideration.



## 1.0 MANDATE OF THE COMMITTEE

### Establishment of the Committee on Finance and Economic Planning

The Committee on Finance and Economic Planning is one of the Sectoral Committees established under Standing Order No. 204 (1) of the County Assembly of Embu. The committee was established through a resolution of the County Assembly on 19<sup>th</sup> September 2017.

The mandate of the committee is clearly spelt out by the provisions of Standing Order No. 204 (5) which defines among the functions of the committee as;

- (a) *To investigate, inquire into, and report on all matters relating to the mandate, management, activities, administration, operations and estimates of the department;*
- (b) *To study the program and policy objectives the department and the effectiveness of the implementation.*
- (c) *To study and review all County legislation referred to it*
- (d) *To study, assess and analyze the relative success of the department as measured by the results obtained as compared with their stated objectives*
- (e) *To investigate and inquire into all matters relating to the department as it may deem necessary, and as may be referred to it by the County Assembly*
- (f) *To vet and report on all appointments where the Constitution or any law requires the County Assembly to approve, except those under Standing Order 198(Committee on Appointments); and*
- (g) *To make reports and recommendations to the County Assembly as often as possible, including recommendation of proposed legislation*

### 1.1 COMMITTEE MEMBERSHIP

The Committee on Finance and Economic Planning is composed of the following members;

- |                                  |   |                  |
|----------------------------------|---|------------------|
| 1. Hon. Morris Muchiri Nyaga     | - | Chairperson      |
| 2. Hon. Peter Murithi Nyaga      | - | Vice Chairperson |
| 3. Hon. Salesio Njeru Kimaru     | - | Member           |
| 4. Hon. Jane Ann Muthoni Murithi |   | Member           |
| 5. Hon. Kiura Murithi Njagi      | - | Member           |
| 6. Hon. Sicily Ruguru Njiru      | - | Member           |
| 7. Hon. Nathan Kariuki Mwari     | - | Member           |

## 1.2 PROBLEM STATEMENT

This report is compiled in fulfillment of the requirement of the County Government Act, 2012 and Embu County Public Appointment (County Assembly Approval) Act, 2015 that gives guidelines on the approval of candidates nominated for public appointments. In the instant matter H.E the Governor, forwarded the name of the candidate nominated for the position of Chairperson, Embu County Revenue Authority on 11<sup>th</sup> October, 2018. The Speaker in a message notified the County Assembly of this notification of the nomination on 6<sup>th</sup> November, 2018. The nomination was subsequently committed to the Committee on Finance and Economic Planning to commence approval hearing and report to the assembly within fourteen (14) days. Based on the aforementioned background, the committee conducted vetting exercise on Monday 19<sup>th</sup> November 2018 for the nominee to the position of Chairperson, Embu County Revenue Authority and compiled this report.

## 1.3 OBJECTIVES/TERMS OF REFERENCE

- To hold approval hearing to consider and vet the nominee for the position of Chairperson, Embu County Revenue Authority
- To subject the nominee to the public for interrogation and receive their responses either in support or otherwise on the nominee.
- To make relevant recommendation in form of a report on the nominee for consideration and adoption by the Embu County Assembly.

## 1.4 METHODOLOGY

The committee applied the following methods to come up with this report;

- A. Committee meetings;
- B. Conducting vetting exercise publicly in the Assembly precincts;
- C. Perusing and analyzing professional, academic and other testimonials for the nominee, and
- D. Analyzing views presented by the public.

## 1.5 TOOLS OF REFERENCE

The committee made reference to the following documents and relevant laws to come up with its observations, findings and recommendations;

1. The Constitution of Kenya, 2010
2. The County Government Act, 2012
3. The Embu County Public Appointment (County Assembly Approval) Act, 2015.
4. Embu County Revenue Authority Act, 2017
5. The Embu County Assembly Standing Orders(2<sup>nd</sup> Edition 2017)
6. The Report from the Governor on the Nominee
7. Submissions from the Nominee during approval hearing

#### 1.6 ACKNOWLEDGEMENT

The committee thanks the office of the Speaker for the guidance and support offered to the committee throughout the exercise.

The committee also thanks the office of the clerk and the secretariat for the facilitation provided throughout the process of coming up with this report.

The committee finally acknowledges the nominee for his presentation and cooperation throughout the exercise.

SIGNED



DATE

19/11/2018

HON. MORRIS MUCHIRI NYAGA, MCA

CHAIRPERSON

COMMITTEE ON FINANCE AND ECONOMIC PLANNING



## 2.0. COMMITTEE DELIBERATIONS

The committee held meetings on 8<sup>th</sup>, 16<sup>th</sup> and 19<sup>th</sup> November 2018 and noted various issues on the vetting process. Some issues noted include but not limited to the following;

### 2.1. Qualifications for appointment as Chairperson of Embu County Revenue Authority

The committee noted that in order to carry out an effective vetting exercise it was necessary for members to acquaint themselves with the qualifications of a chairperson as envisaged in the Embu County Revenue Authority Act, no. 4 of 2017. This was necessary as it would enable the committee match the nominee's qualifications against what the law has provided. It was noted that as per Section 7 (3) of the Embu County Revenue Authority Act, no. 4 of 2017, a person shall be qualified for appointment as chairperson if the person;

- a) Holds at least a degree in finance, economics, business administration, or other relevant degree from a recognized university.
- b) Has knowledge and experience of at least five years in matters relating to, business, finance and accounts;
- c) Meets the requirements of Chapter Six of the Constitution; and
- d) Has had a distinguished career in the field.

### 2.2 Vetting Process of the Chairperson to Embu County Authority Board.

- i. Pursuant to provisions of Section 7 of the Embu County Public Appointment (County Assembly Approval) Act of 2015, and Section 8(1) of the Embu County Revenue Authority Act, no.4 of 2017, H.E the Governor, in a letter dated 11<sup>th</sup> October 2018 (*but received in the Assembly on 22<sup>nd</sup> October 2018*) Ref No. EBU/C.GVT/GVNR/CAE/VOL.1/ (33) notified the County Assembly through the Clerk the nomination of the candidate for the position of the Chairperson to the Embu County Revenue Authority Board.
- ii. It was noted that the county government had placed an advertisement for the position of the chairperson in the *Star Newspaper* on 19<sup>th</sup> January 2018.
- iii. The position attracted four candidates who were shortlisted and subsequently interviewed on 1<sup>st</sup> October 2018, by an Interviewing Panel appointed by the H.E. the Governor.
- iv. On Tuesday 6<sup>th</sup> November 2018, the Honorable Speaker in a Message to the Assembly, informed members that the County Assembly had received the name of the candidate nominated for the position of the Chairperson, Embu County Authority Board.. He directed that the credentials of the said nominee be referred to the relevant Committee (*The committee on Finance and Economic Planning*) for vetting and reporting to the House within 14 days.



- v. On 11<sup>th</sup> November 2018, the Clerk of the County Assembly vide an advertisement in the media (*Sunday Nation*) made a notification to the nominee and to the public to submit memorandum in respect of the nominee.

### 3.0 COMMITTEE'S FINDINGS AND OBSERVATIONS

The committee, in the course of discharging its mandate made the following observations;

1. **THAT**, His Excellency the Governor complied with provisions of Section 7 of the Embu County Public Appointment (County Assembly Approval) Act, 2015 on the notification to the nominee.
2. **THAT**, no Memorandum was received from the members of the public on the suitability or otherwise of the nominee in question.
3. **THAT**, the nominee for position of Chairperson, Embu County Revenue Authority Dr. Francis Mambo Gatumo was eloquent forthright in his presentation.
4. **THAT**, interrogation of Dr. Francis Mambo Gatumo yielded the results as outlined in the table below:

S/N	Criteria	Remarks
1	Questionnaire to be filled in full	Satisfactory
2	A minimum of a degree from a recognized university	Satisfactory
3	Understanding of the position to which the candidate is proposed for appointment	Satisfactory
4	Experience relevant to the position - 5 years' experience in Management	Satisfactory
5	Clearance from Ethics and Anti-Corruption Commission (EACC)	Satisfactory
6	Clearance from Higher Education Loans Board (HELB)	Satisfactory
7	Clearance from Kenya Police Service (Certificate of Good Conduct)	Satisfactory

8	Clearance from Credit Reference Bureau (CRB)	Satisfactory
9	Clearance from Kenya Revenue Authority (KRA)	Satisfactory
10	Willingness to work for the prescribed remuneration	Satisfactory
11	Knowledge of government management systems related to being a Chairperson to Embu County Revenue Authority.	Satisfactory
12	Understanding of relationship with County Executive Committee and County Assembly	Satisfactory
13	Response to any matters raised by public	Satisfactory
14	Response to any matters raised by the Members of the County Assembly	Satisfactory
15.	Availability to work as and when required.	Satisfactory

#### 4.0. COMMITTEE'S RECOMMENDATIONS

The committee having considered the submissions of the nominee on oath, together with the forwarded documents from the Governor of Embu County as the appointing authority, the views of the public on the suitability of the nominee and the relevant laws, the committee recommends:

**1. THAT**, the Committee unanimously recommends to the assembly the approval of the nominee, Dr. Francis Mambo Gatumo for the position of the Chairperson, Embu County Authority Board.



## 5.0. CONCLUSION

The committee urges the County Assembly to adopt the recommendation herein contained in this report.

<u>NAME</u>		<u>POSITION</u>	<u>SIGN</u>
1. Hon. Peter Murithi Nyaga	-	V/ Chairperson	
2. Hon. Salesio Njeru Kimaru	-	Member	
3. Hon. Jane Ann Muthoni Murithi	-	Member	
4. Hon. Kiura Murithi Njagi	-	Member	
5. Hon. Sicily Ruguru Njiru	-	Member	
6. Hon. Nathan Kariuki Mwari	-	Member	

SIGNED

DATE

19/11/2018

HON. MORRIS MUCHIRI NYAGA, MCA

CHAIRPERSON

COMMITTEE ON FINANCE AND ECONOMIC PLANNING

## LIST OF ANNEXURES

1. Copy of I.D .....	Annexure i
2. Filled in Questionnaire.....	Annexure ii
3. Degree Certificates.....	Annexure iii
4. Police Clearance Certificate.....	Annexure iv
5. Certificate of Clearance from Credit Reference Bureau.....	Annexure v
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7. KRA Tax Compliance Certificate.....	Annexure vii
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Annexure i

JAMHURIYA KENYA

REPUBLIC OF KENYA

SERIAL NUMBER: 237833545

ID NUMBER: 1299691

FULL NAMES

FRANCIS MAMBO GATUMO

DATE OF BIRTH

07.07.1952

SEX

MALE

DISTRICT OF BIRTH

EMBU NORTH

PLACE OF ISSUE

HDM CBD

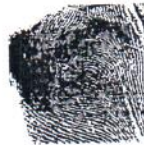
DATE OF ISSUE

22.04.2014



HOLDER'S SIGN.

*[Signature]*



DISTRICT  
EMBU NORTH  
DIVISION  
MANYATTA  
LOCATION  
NGANDORI WEST  
SUB-LOCATION  
KATHANGARI



PRINCIPAL REGISTRAR'S SIGN

T0168379810

IDKYA2378335450<<1431<<<<4712  
5207077M1404225<B001299691Z<<5  
FRANCIS<MAMBO<GATUMO<<<<<<<<<<



Annexure ii

## COUNTY ASSEMBLY OF EMBU



### CRITERIA FOR VETTING/APPROVAL OF NOMINEES FOR APPOINTMENT TO PUBLIC OFFICE BY COUNTY ASSEMBLY

#### QUESTIONNAIRE

Notes;

- (a) This questionnaire applies to appointments to public office arising by or under the Constitution or any other, law where the approval of the County Assembly is a requirement.
- (b) The questionnaire shall be used by the relevant County Assembly Committee to vet a nominee appearing before the committee in the process of approval by the County Assembly.
- (c) The questionnaire shall be filled and submitted by the nominee to the relevant County Assembly Committee through the Clerk on or before a date set by the committee.
- (d) The submission of false information in the questionnaire is an offence and may result in prosecution.
- (e) Any form of canvassing by a nominee shall lead to disqualification.
- (f) The nominee must answer all the questions.

1. Name: *(State Full Name)*

FRANCIS MAMBO GATUMO

2. Position: *(State Position for which you have been nominated)*

CHAIR OF EMBU COUNTY REVENUE AUTHORITY



3. Sex: MALE
4. Date of Birth: *(State year and Place of Birth)*  
7-7-1952
5. Marital Status: MARRIED
6. Daytime Phone Number: ~~0722-~~ 3606130
7. Mobile Phone Number: 0722-776095
8. E-mail Address: fgalim@usiu.ac.ke
9. ID No./Passport Number: 1299691
10. Personal Identification Number: A000199353V
11. Nationality: KENYAN
12. Postal Address: 18321-00100
13. City/Town: NAROB1

14. Knowledge of Languages: (Specify Languages)

ENGLISH, KISWAHILI, KIEMBU

15. Education: (List, in reverse chronological order, each university, college, or any other institution of higher education attended and indicate, in respect of each, the dates of attendance, academic award obtained, whether a degree was awarded, and the dates on which each such degree was awarded).

PHD CALIFORNIA MICHIGAN UNIVERSITY 2013-2017

MBA YORK UNIVERSITY CANADA 1977-1980

Bachelor of  
COMMERCIAL UNIVERSITY OF NAIROBI 1974-1977

16. Employment Record: (List in reverse chronological order all Government agencies, business or professional corporations, companies, firms or other enterprises with which you have been affiliated as an officer, director, partner, proprietor, employee or consultant).

USIU - AFRICA ASSISTANT PROFESSOR OF FINANCE  
UNIVERSITY

ATUMU & ASSOCIATES - CEO

COOPERS & LYBRAND - CONSULTANT

UNIVERSITY OF NAIROBI - LECTURER

GOVERNMENT OF KENYA - MASENO/KICA  
LECTURER

17. **Honors and Awards:** (List any scholarships, fellowships, honorary degrees, academic or professional honors, honorary society memberships, military awards and any other special recognition for outstanding service or achievement and in respect of each, state the date of award and the institution or organization that made the award).

CELEBRATING A MILESTONE USIM

18. **Professional Association:** (Where applicable) (List all professional associations of which you are or have been a member and give any positions held and the respective dates when each such position was held)

INSTITUTE OF CERTIFIED PUBLIC ACCOUNTANTS

INSTITUTE OF CERTIFIED PUBLIC SECRETARY

19. **Membership:** (List all professional, business, fraternal, scholarly, civic, and charitable or other organizations, (other than those listed in response to Question 19) to which you belong or have belonged).

ICPA (K)

ICPS (K)

20. **Published writings:**

(a) List the titles, publishers and dates of book, articles, reports letters to the editor, editorial pieces or other published materials you have authored or edited.

Mambo (2017). What influences short run performance of IPO in Kenya

Mambo (2017). Do IPOs in Kenya outperform the Market? A Longitudinal Analysis of Returns.



- (b) *Supply four (4) copies of any reports, memoranda or policy statements you prepared or contributed in the preparation of any bar association, committee, conference or organization of which you were a member.*

21. **Public Office, Political Activities and Affiliations:**

- (a) *List chronologically any public offices you have held or are currently holding, including the terms of service and whether such positions were elected or appointed.*

NONE

- (b) *List all memberships and offices held in and services rendered, whether compensated or not, to any political party or election committee. If you have ever held a position or played a role in a political campaign, identify the particulars of the campaign, including the candidate, dates of the campaign, your title and responsibilities. Also include any linkage you have to a political party at present.*

NONE

- (c) *Have you ever been dismissed or otherwise removed from office for a contravention of the provisions of Leadership and Integrity Act, 2012?*

NO

- (d) *Have you ever adversely associated with practices that depict bias, favoritism or nepotism in the discharge of public duties?*

NO

22. **Deferred Income/Future Benefits:** *(List the sources, amounts and dates of all anticipated receipts from deferred income arrangements, stock, options, uncompleted contracts and other future benefits which you expect to derive from previous business relationships, professional services, firm memberships, etc)*

NONE

23. **Outside Commitment during service in office:** *(Do you have any plans, commitments or agreements to pursue outside employment with or without compensation during your service in office? If so explain)*

NO

24. **Sources of Income:** *(List sources and amounts of all income received during the calendar year preceding your nomination and in the current calendar year).*

EMPLOYMENT Ksh. 4,392,481

25. **Tax Status:** *(State whether you have fully complied with your tax obligations to the State (or to the County Government where applicable) up to the end of the Financial year immediately preceding the nomination for appointment)*

TAX PAID Ksh 1,213,537

26 **Statement of net Worth:** *(State your financial net worth)*

15M      House 10M  
FIRMS 5M

27. **Potential Conflict of Interest:**

- (a) *Identify the family members or other persons, parties, categories of litigation or financial arrangements that are likely to present potential conflicts-of-interest when you first assume the position to which you have been nominated. Explain how you would address any such conflict if it were to rise.*

NONE

- (b) *Explain how you will resolve any potential conflict of interest, including the procedure you will follow in determining these areas of concern.*

IMPERIALITY

28. **Pro-Bono/Charity Work/Donations to Charity:** *(Describe what you have done by way of pro bono or charity work, listing specific instances, the amount contributed and the amount of time devoted to each)*

/



29. Have you ever been charged in a court of law in the last three years? If so, specify the nature of the charge, where the matter is ongoing, the present status of the matter, or where the matter is concluded, the judgment of the court, or otherwise, how the case was concluded.

NO

30. Have you ever been adversely mentioned in an investigatory report of County Assembly or any other Commission of Inquiry in the last three years?

NO

31. Have you any objection to the making of enquiries with your present employer/referees in the course of consideration of your nomination?

NO



**References:** (List three persons who are not your relatives who are familiar with your character, qualification and work).

1. PROF. PETER LEWA  
DEAN, CHANDARIA SCHOOL OF BUSINESS  
P.O. Box 14634 - 00800  
NAROB1
2. DR. JOSEPH KAMAU  
PROFESSOR OF ENTREPRENEURSHIP  
P.O. Box 14634 - 00800  
NAROB1
3. PROF. DOMENIC MWENJA  
CEO MATERN HOSPITAL  
P.O. Box  
NAROB1



*Annexe III (a)*

# UNIVERSITY OF NAIROBI



This is to certify that

**Francis Gatumo Mambo**

having satisfied the requirements

for the award of the degree of the

**BACHELOR OF COMMERCE**

(ACCOUNTING OPTION)

**Second Class Honours (Lower Division)**

was admitted to the degree

at a Congregation held at

this University on the

TWENTY SEVENTH DAY OF OCTOBER IN THE YEAR

1977

*J. N. Karanja*  
VICE CHANCELLOR

*[Signature]*  
REGISTRAR





# California Miramar University

*The Board of Directors of California Miramar University  
on the recommendation of the University Faculty has conferred upon*

**Francis Hambo Gatano**

*the degree of*

**Doctor of Business Administration  
With an Emphasis in Finance**

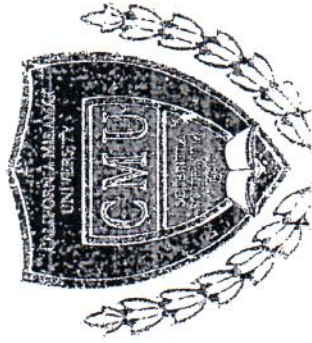
*with all rights, privileges and honors thereto appertaining.*

*In witness whereof, by authority properly committed have  
given under the seal of the University*

*This Thirtieth day of August, in the year Four-Thousand-Seventeen.*



Dr. Steben Marfield  
Chairman of the Board of Directors



Dr. Dominic Muenja  
Director - Nairobi Branch Campus





THE REPUBLIC OF KENYA

Annexure IV

PCC-AAAGYJH8

INVOICE PAID



DIRECTORATE OF CRIMINAL INVESTIGATIONS (DCI)  
POLICE CLEARANCE,

INVOICE: INV-1390683

DATE: 15 November 2018

Criminal Investigation Department Headquarters

Directorate of Criminal Investigations

Mazingira House, Kiambu Road

P.O. Box 30036-00100 GPO

Nairobi, Kenya

Customer ID: 1299691  
Name: FRANCIS MAMBO GATUMO  
Email: fgatumo@usiu.ac.ke  
Tel: +254721825174

DIRECTORATE OF CRIMINAL  
INVESTIGATIONS  
P.O. Box 30036-00100  
Nairobi

Service Code	Service Description	Amount (KES)
11111111	Convenience fee	50
1420234-001	Police Clearance	1000
Total (KES)		1050

Payment Mode: Reference Number: 5967/876145/1354715

**NOTE:** After fingerprinting, you will receive an SMS with instructions to download your Police Clearance Certificate. Please login to your **ECITIZEN** account, download and print your certificate from the **DOWNLOAD** section.







Annexure V

TransUnion 

CRN No.

6344376

S/No.

6277

# CRB Certificate

Date of issue:

14 Nov 2018

We hereby confirm, having searched TransUnion records, that;

**FRANCIS MAMBO GATUMO**

of National ID No 1299691

Has a Credit Report with status as:

☒ No adverse listing

☐ Paid

☐ Paying

This Certificate has been issued without any alteration or erasure. The validity of the information on this certificate is as at the date of issue.



TransUnion Authorised Signatory

N59794014V {099CEA08-742F-48A9-BDC6-41EA33F8C0C9}

TransUnion  
1st Floor, Corner, Annex, 2nd Floor,  
Rm 606, 5th Floor, 100100, Nairobi

TransUnion Authorised Signatory

The conclusions herein are based on information obtained from public record and other third-party sources. TransUnion makes no representation as to the accuracy of this information and shall not be liable for any loss, damage or claim whatsoever, howsoever arising following the use of or reliance upon the opinions expressed herein.

For verification, kindly call our office on +254 730 651 000 / +254 724 253 296





No. 040797

# HIGHER EDUCATION LOANS BOARD



## Certificate of Clearance

UNIVERSITY STUDENT LOAN

MAMBO FRANCIS GATUMO

This Certificate is awarded to

1299691

ID No.

University Registration No.

D33/491/74

University attended

UNIVERSITY OF NAIROBI

For having repaid in full the Principal Loan and interest thereon.

Signature CEO/BOARD SECRETARY

Date

02 Oct 2012

Signature HEAD OF OPERATIONS

Date

02 Oct 2012

Annexure vi





Amesume Vii



KENYA REVENUE  
AUTHORITY

www.kra.go.ke

## Tax Compliance Certificate

For General Tax Questions  
Contact KRA Call Centre  
Tel: +254 (020) 4999 999  
Cell: +254(0711)099 999  
Email: callcentre@kra.go.ke

**Taxpayer PIN :** A000199353V

**Certificate Date:** 14/11/2018

**Name and Address :**

FRANCIS MAMBO GATUMO

CSOB, NAIROBI, Kasarani District,

PO Box:14634,

Postal Code:00800

**Certificate Number:**

KRAMRU3244532018



**This is to confirm that FRANCIS MAMBO GATUMO,  
Personal Identification Number A000199353V  
has filed relevant tax returns and  
paid taxes due as provided by Law.**

**This Certificate will be valid for  
twelve (12) months up to 13/11/2019.**

**Caveat**

This certificate is issued on the basis of information available with the authority as at the certificate date mentioned above. The Authority reserves the right to withdraw the certificate if new evidence materially alters the tax compliance status of the

**Disclaimer :** This certificate is system Generated and therefore does not require signature. You may confirm validity of this certificate on the iTax Portal by using the TCC Checker.



I FRANCIS MAMBO GADMO

**SWEAR/AFFIRM BY THE ALMIGHTY**

**GOD THAT THE INFORMATION I**

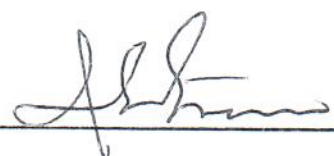
**SHALL GIVE BEFORE THE**

**COMMITTEE OF THE COUNTY**

**ASSEMBLY SHALL BE THE TRUTH,**

**THE WHOLE TRUTH, NOTHING BUT**

**THE TRUTH SO HELP ME GOD.**

**SIGNATURE:** 

**DATE:** 19/11/2018







## COUNTY ASSEMBLY OF EMBU

**NOTICE TO NOMINEE AND MEMBERS OF THE PUBLIC**

Pursuant to the provisions of Sections 8 and 9 of the Embu County Revenue Authority Act, 2017 and Section 8 of the Embu County Public Appointment (County Assembly Approval) Act, 2015, the below named nominee for the Chairperson of Embu County Revenue Authority Board (ECRA) is invited to appear before the Committee on Finance and Economic Planning of the County Assembly for vetting and approval hearing at the Embu County Assembly Committee Room 3 on the date, time and venue indicated in the table below.

NOMINEE	ADDRESS	DATE OF VETTING / APPROVAL HEARING	VENUE AND TIME
DR. Francis Mambo Gatumo	P.O. BOX 18321-0100 NAIROBI	Monday, 19 <sup>th</sup> November 2018	Ghanshyam Plaza (Opposite Kasturi Supermarket ) at 10:00am

The nominee is required to collect vetting forms from the **Office of the Clerk to the Assembly** during official working hours or download from Assembly website; [www.embuassembly.go.ke](http://www.embuassembly.go.ke) and return them with copies of the following documents on or before **Friday, 16<sup>th</sup> November, 2018 at 10.00 a.m.**

1. ID Card or passport
2. Curriculum Vitae and personal credentials
3. Compliance certificate from Kenya Revenue Authority (KRA)
4. Clearance certificate from Higher Education Loans Board (HELB)
5. Clearance certificate from Ethics and Anti-Corruption Commission (EACC)
6. Certificate of good conduct from National Police Service (Directorate of Criminal Investigation)
7. Clearance from respective professional bodies
8. Clearance certificate from Credit Reference Bureau (CRB)

The nominee is also advised to bring the originals of the above mentioned documents on the vetting day.

Members of public are invited to attend the vetting sessions and may, prior to approval hearing, and by written statement on oath, provide the Clerk with evidence contesting the suitability of the candidate to hold the office to which the candidate has been nominated. Any memoranda in support of the nominee or contesting the suitability should be received latest by **Friday, 16<sup>th</sup> November, 2018 at 10.00 a.m.**

**Jim G. Kauma,**  
Clerk - County Assembly of Embu,  
P.O. Box 140 – 60100,  
**EMBU.**



Annexure (x)

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# EMBU COUNTY GOVERNMENT



22 OCT 2018

## OFFICE OF THE GOVERNOR

MOBILE; +254 771 204 003/ + 254 703 192 924 Tel: +254 68 30686/ 30656

Address: P.O BOX 36- 60100 Embu, Location: Embu Town House Email: [info@embu.go.ke](mailto:info@embu.go.ke) Website: [www.embu.go.ke](http://www.embu.go.ke)

REF: EBU/C.GVT/GVNR/CAE/VOL.1 (33)

Date: 11<sup>th</sup> October, 2018

Mr. Jim Kauma  
Clerk of the  
County Assembly of Embu  
P.O. Box 140

Embu

Dear Jim,

RE: VETTING OF THE CHAIRPERSON – EMBU COUNTY REVENUE AUTHORITY BOARD (ECRA).

Pursuant to Section 8, 9, 10, 11 and 12 of the Embu County Revenue Authority Act 2017 that established the Embu County Revenue Authority which is a body mandated to assess, collect, account for revenue, administration and enforcement of revenue laws, I hereby nominate the below person for the position of Chairperson for the authority for vetting and subsequent approval by the County Assembly of Embu.

### 1. Dr. Francis Mambo Gatumo

The position of Chairperson ECRA was advertised on 19<sup>th</sup> January, 2018 in the Star Newspaper. We received four (4) applicants of which all were shortlisted and interviewed on 1<sup>st</sup> October, 2018 at Panesic Hotel.

Enclosed herein find the following:

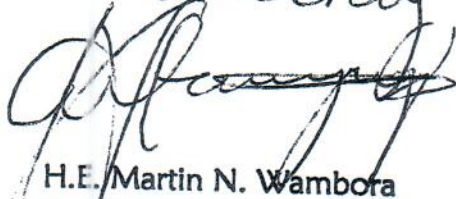
1. Copy of the advertisement for the position of Chairperson and Directors
2. List of applicants and shortlisted candidates
3. Copy of the minutes of the interviews conducted on 1<sup>st</sup> October, 2018
4. Curriculum Vitae and other testimonials for the successful nominee

②  
ward  
for  
being  
Mambo  
S. C. T.

The purpose of this letter is to request you to table the above name before the County Assembly of Embu for approval as required by law.

Yours

*Sincerely*



H.E. Martin N. Wambora

Governor

Embu County

Encls





H.E. The Governor  
Governor  
Embu County  
P.O. Box 36 – 60100  
Embu

**3<sup>rd</sup> October, 2018**

County Executive Member – Finance  
And Planning  
P. O. Box 36 – 60100  
Embu

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**RE: INTERVIEW RESULTS FOR EMBU COUNTY REVENUE  
AUTHORITY BOARD CHAIRPERSON (ECRA) CONDUCTED ON 1<sup>ST</sup>  
OCTOBER, 2018 AT PANESIC HOTEL - EMBU**

Pursuant to Section 8, 9, 10,11 and 12 of the Embu County Revenue Authority Act 2017 that established the Embu County Revenue Authority which is a body mandated to assess and collect Revenue, Administration and Enforcement of revenue laws, a team was appointed via a letter referenced EBU/C.GVT/GVNR/ECRA/Vol.1(01) to shortlist and conduct interviews for Chairperson.

The position of Chairperson was advertised in the Star Newspaper dated 19<sup>th</sup> January, 2018 and attracted Four (4) applicants. Subsequently, shortlisting was done and the four (4) shortlisted candidates were interviewed on 1<sup>st</sup> October, 2018 at Panesic Hotel.

**List of Shortlisted Candidates**

S/No	NAME	QUALIFICATION
1	Francis Mambo Gatumo	<ul style="list-style-type: none"><li>• PhD. In Business Administration Finance option</li><li>• MBA in Business Administration Finance option</li><li>• Bachelors of Commerce Accounting Option</li></ul>
2	Henry Kithaka Ireri	<ul style="list-style-type: none"><li>• Bachelor of Business Management</li></ul>

		<ul style="list-style-type: none"> <li>• A' Level EAACE</li> <li>• O' Level EACE</li> </ul>	
3	John Mark Kariuki	<ul style="list-style-type: none"> <li>• Masters in Cooperative Management</li> <li>• Bachelor of Business Administration (Human Resources Option)</li> <li>• Diploma in Cooperative and Administration</li> <li>• KCSE</li> </ul>	
4	Jane Muthoni Nthiga	<ul style="list-style-type: none"> <li>• Master Degree in Educational Administration</li> <li>• Bachelor of Education History and CRE</li> <li>• KACE and KCE</li> </ul>	

### Results

Herein below are the individual performance and candidate's score for the position of Chairperson.

S/N O	Name	Qualifications (40mks)		Relevant Experience (20mks)	IT Experience (10)	General Knowledge (20)	Personal experience & personality/commu- nication (10mks)	Total (100 mks)
		Academic (15mks)	Professional (25 mks)					
1	Francis Mambo Gatumo	14	22	16	08	17	08	85
2	Henry Kithaka Ileri	13	21	15	07	16	07	79
3	John Mark Kariuki	13	20	14	08	16	07	78
4	Jane Muthoni Nthiga	10	18	12	07	13	07	67

Thank you for the continued support.

Chairperson ..... 03/10/2018

Chairperson

Date

03/10/18 Secretary

Secretary



Annexure xi

LEADERSHIP AND INTEGRITY ACT, 2012 /KIFUNGU CHA SHERIA CHA UONGOZI NA UADILIFU, 2012

**FIRST SCHEDULE (S.13) / TARATIBU YA KWANZA (S.13)**

**SELF-DECLARATION FORM / FOMU YA KUJITANGAZA**

**1. GENERAL INFORMATION / TAARIFA YA JUMLA**

Title / Cheo	Surname / Jina la ukoo	First Name / Jina la Kwanza	Middle Name / Jina la Katikati	Other Names / Majina Mengine
<b>Mr/Mrs/Prof/ Miss/Ms/Dr Bw/Bi/Prof/ Binti/Bibi/Dkt</b>	GATUMO	FRANCIS	MAMBO	
ID CARD No. Na. ya Kitambulisho	PASSPORT NO. NA. ya PASIPOTI	EXPIRY DATE OF PASSPORT TAREHE YA MUDA WA PASIPOTI KUISHA		PIN NO. NA. ya PIN
1299691		2025		A000199353V
SEX (Tick) JINSIA (Weka Alama)		Occupation: Kazi: LECTURER		
Male Kiume <input checked="" type="checkbox"/>		E-Mail Address: fgatumo@usim.ac.ke		
Female Kike <input type="checkbox"/>		Anwani ya Barua pepe:		
		Postal Address: PO Box 18321		Code: 00100
		Anwani ya Posta: SL Posta:		Msimbo:
		Other Addresses: Anwani Nyingine:		
Telephone No. Na. ya Simu	Mobile No. Na. ya Rununu	Other Numbers Nambari Nyingine		
	0722-776095			
RESIDENCE MAKAZI	ESTATE/TOWN/LOCATION MTAA/MJI/LOKESHENI		NTHAMBO	
	DISTRICT WILAYA		EMBU WEST	
	COUNTY KAUNTI		EMBU	
	TOWN/CITY MJI/JIJI		EMBU	
	COUNTRY NCHI		KENYA	
<b>2. BIRTH INFORMATION / TAARIFA YA KUZALIWA</b>				
DATE OF BIRTH /TAREHE YA KUZALIWA		7.7. 1952		



BIRTH CERTIFICATE NO. / NA. YA CHETI CHA KUZALIWA	491833	
PLACE OF BIRTH / MAHALI PA KUZALIWA	KIRURU	
DISTRICT OF BIRTH / WILAYA YA KUZALIWA	7.7.1952	
COUNTY OF BIRTH / KAUNTI YA KUZALIWA	EMBU	
COUNTRY OF BIRTH / NCHI YA KUZALIWA	KENYA	

**3. NATIONALITY / UTAIFA**

Kenyan <input checked="" type="checkbox"/> Mkenya	Dual <input type="checkbox"/> Kotekote (Provide details _____) (Toa maelezo _____)
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**4. MARITAL STATUS / HALI YA NDOA**

SINGLE <input type="checkbox"/> NINGALI SIJAOA/ SIJAOLEWA	MARRIED <input checked="" type="checkbox"/> NIMEOA/NIMEOLEWA	SEPARATED <input type="checkbox"/> NIMETENGANA
DIVORCED <input type="checkbox"/> NIMETALAKIANA	WIDOWED <input type="checkbox"/> NIMEFIWA	

IF MARRIED GIVE NAMES OF THE SPOUSE(S) (Surname, First Name, middle name, others)  
 KAMA UMEOA TOA MAJINA YA MUME/MKE(Wa) WAKO (Jina la ukoo, Jina la Kwanza, jina la kati, mengine)

MAMBO, DIANA MUTHONI

NATIONALITY OF SPOUSE UTAIFA WA MKE/MUME	KENYAN
---	--------

NAME OF CHILDREN UNDER THE AGE OF 18 YEARS  
 JINA LA WATOTO WALIO CHINI YA UMRI WA MIAKA 18

NONE

**5. EDUCATIONAL QUALIFICATIONS / KUFUZU KWA KIELIMU**

PRIMARY CERTIFICATE <input checked="" type="checkbox"/> CHETI CHA MSIKISI	SECONDARY <input checked="" type="checkbox"/> SHULE YA UPILI	'A' LEVEL <input checked="" type="checkbox"/> KIWANGO CHA 'A'
DIPLOMA <input type="checkbox"/> STASHAHADA	DEGREE <input checked="" type="checkbox"/> SHAHADA	MASTERS <input checked="" type="checkbox"/> UZAMILI
OTHERS <input type="checkbox"/> VINGINE		PHD <input checked="" type="checkbox"/> UZAMIFU



HIGHEST ACADEMIC QUALIFICATION OBTAINED  
KUFUZU KWA JUU ZAIDI KWA KIAKADEMIA ULIKOPATA

Qualification / Kufuzu	Institution / Taasisi	Year / Mwaka
DOCTORATE IN BUSINESS ADMINISTRATION	CALIFORNIA MILAN	2013/2017

6. LANGUAGE SPOKEN / LUGHA UNAZOZUNGUMZA

First Language Lugha ya Kwanza	Second Language Lugha ya Pili	Others Nyingine
KIEMBU	ENGLISH	KISWAHILI

7. MEMBERSHIP OF PROFESSIONAL ORGANISATION(s) (If any)

UANACHAMA WA SHIRIKA(MA) YA KITAALAMU (Kama yapo)

Name of Organization Jina la Shirika	Date of Admission Tarehe ya Kuandikishwa	Membership No. Na. ya Uanachama
ICPA(IC)	1982	932
ICPA(IC)	1983	147

8. REASON(S) FOR DECLARATION / SABABU ZA KUJITANGAZA

Purpose for which declaration is required / Kusudio la kuhitajika kwa kujitangaza huku

Election ☐ Employment ☒  
Upigaji kura Kuajiriwa

Others (Specify) \_\_\_\_\_  
Nyingine (Bainisha)

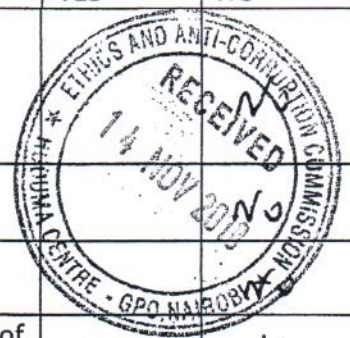
State office for which the declaration is being submitted  
Ofisi ya serikali ambayo kujitangaza huku kunawasilishwa

9. MORAL AND ETHICAL QUESTIONS / MASWALI YA NIDHAMU NA KIMAADILI

Answers to the following questions are mandatory. If YES to any question you must provide additional information on a supplementary sheet.

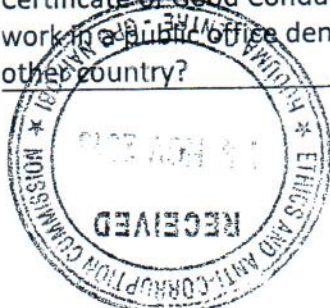
Majibu kwa maswali yafuatayo ni lazima. Kama NDIYO katika swali lolote lazima utoe taarifa ya ziada kwenye karatasi nyingine.

	YES	NO
a) Have you ever engaged in any form of dishonesty in the conduct of public affairs a) Umewahi kujihusisha na hali yoyote ya kutokuwa mwaminifu katika kazi zako na shughuli za umma		
b) Have you ever abused a public office? b) Umewahi kutumia vibaya ofisi ya umma?		
c) Have you ever misrepresented information to the public? c) Umewahi kuwakilisha kwa njia isiyofaataarifa kwa umma?		
d) Have you ever engaged in wrongful conduct whilst in the furtherance of personal benefit?		No





d) Umewahi kujihusisha katika tabia mbaya huku ukitaka kujinufaisha kibinafsi?		
e) Have you ever misused public resources? e) Umewahi kutumia vibaya rasilimali za umma?		No
f) Have you ever discriminated against anyone of any grounds other than as provided for under the Constitution or any other law? f) Umewahi kubagua yeyote kwa misingi yoyote mbali na vile ilivyoelezwa katika Katiba au sheria yoyote nyingine?		No
g) Have you ever falsified official or personal records? g) Je, umewahi kudanganya katika rekodi rasmi au za kibinafsi?		No
h) Have you ever been debarred or removed from the Register of Members of your professional organization? h) Umewahi kupigwa teke au kuondolewa kutoka kwenye Rejista ya Wanachama wa shirika lako la kitaalamu?		No
i) Have you ever had any occupational or vocational license revoked and/or otherwise subjected to any other disciplinary action for cause in Kenya or any other country i) Umewahi kujipata katika hali ya leseni yako ya kikazi au ya kiufundi kutupiliwa mbali na/au vinginevyo kuchukuliwa hatua nyingine ya kinidhamu katika nchi ya Kenya au nchi yoyote nyingine		No
j) Have you ever dismissed from employment on account of lack of integrity? j) Umewahi kufutwa kazi katika ajira kutokana na ukosefu wa uadilifu?		No
k) If you have been a public officer, have you ever failed to declare your Income, Assets and Liabilities as required under the Public Officer Ethics Act, 2003? k) Kama umewahi kuwa ofisa wa umma, umewahi kushindwa kutangaza Mapato yako, Mali na Gharama kama unavyohitajika katika Kifungu cha sheria cha Maadili ya Ofisa wa Umma, 2003?		No
l) Have you ever been the subject of disciplinary or criminal proceedings for breach of the Public Officer Ethics Act, 2003 or a Code prescribed thereunder? l) Umewahi kuwa mada katika taratibu za kinidhamu au kihalifu kwa kuvunja kifungu cha sheria cha Maadili ya Ofisa wa Umma 2003, au Msimbo ulioainishwa hapo chini?		No
m) Have you ever been convicted of any offence and sentenced to serve imprisonment for a period of at least six months? m) Umewahi kushtakiwa kwa kosa lolote na kuhukumiwa kifungu gerezani kwa kipindi kipatacho miezi sita?		No
n) Have you ever had an application for a Certificate of Clearance or a Certificate of Good Conduct or for a visa or other document authorizing work in a public office denied and/or rejected for cause in Kenya or any other country?		No



n) Umewahi kutuma ombi la Cheti cha kuondolewa Hatia au Cheti cha Kinidhamu au cha visa au nyaraka nyingine zinazoidhinisha kazi katika ofisi ya umma na hivyo basi wewe kunyimwa na/ au kukataliwa kwa sababu yoyote nchini Kenya au nchi yoyote nyingine?

# **10. EMPLOYMENT INFORMATION / TAARIFA YA KUAJIRIWA**

NAME OF EMPLOYER JINA LA MWAJIRI	POSITION/RANK CHEO/WADHIFA	DATE OF FIRST APPOINTMENT TAREHE YA KUAJIRIWA KWA KWANZA	DATE OF PRESENT APPOINTMENT TAREHE YA KUAJIRIWA KWA SASA
UNITED STATES INTERNA. UNIV	ASSISTANT PROFESSOR OF FINANCE	2002	2014
GATVMO & ASSOCIATES	CEO	1985	2000
COOPERS & LYBRAND	CONSULTANT	1983	1984
UNIVERSITY OF NAIROBI	LECTURER	1980	1980
WORKSTATION KITUO CHA KAZI  NAIROBI	NATURE OF EMPLOYMENT (Constitutional/Elective/Permanent/Contractual/Other) AINA YA KUAJIRIWA (Kikatiba/Kuteuliwa/Kudumu/Kikandarasi/Nyingine)		





**OATH AND AFFIRMATION / KIAPO NA UTHIBITISHWAJI**

I solemnly swear (or affirm) and certify, under penalty of false declaration under the Oaths and Statutory Declarations Act (Cap 15 of the Laws of Kenya), that all the foregoing statements in this declaration are true and correct to the best of my knowledge.

*Ninaapa ya kwamba (ninathibitisha) na kuidhinisha, katika adhabu ya kujitangaza kwa uongo chini ya kifungu cha sheria cha Viapo na Kujitangaza Kisheria (Ibara 15 ya Sheria za Kenya), kwamba kauli zote zilizotajwa katika kujitangaza huku ni za kweli na sahihi kwa kadri ninavyojua.*

Dated at / Mnamo tarehe ..... 14<sup>th</sup> ....., this / kwenye.....

day of / siku hii ya ..... WEDNESDAY ..... 2018

SIGNATURE OF DECLARANT: .....  .....  
SAINI YA ANAYEJITANGAZA:

SWORN/DECLARED BEFORE ME / ALIYELISHWA KIAPO/TANGAZWA MBELE YANGU

This / Mnamo ..... 14<sup>th</sup> ..... day of / siku hii ya ..... NOVEMBER ....., 20 ..... 18 .....,

at / katika mahali hapa..... NAIROBI ..... MAGDALINE C. NG'ETICH  
ADVOCATE & 

COMMISSIONER FOR OATHS  
P.O. Box 29069-00100, NAIROBI

COMMISSIONER FOR OATH/MAGISTRATE  
KAMISHNA WA KIAPO/ HAKIMU

